

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of)	Ji Ung LEE et al.
Application No.)	Unassigned
Filed)	September 25, 2003 (herewith)
Title)	SELF-ALIGNED GATED CARBON NANOTUBE FIELD EMITTER STRUCTURES AND ASSOCIATED METHODS OF FABRICATION
Examiner)	Unassigned
Art Unit)	Unassigned

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450 USA

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR §§1.56 and 1.97-99, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of Examiner in charge of the above-referenced Application. Examiner is encouraged to contact Applicants should Examiner have any questions related to this Application.

Examiner is respectfully requested to initial in the space adjacent to the listing of each document on Form PTO/SB/08A, and to return a copy of the initialed Form PTO/SB/08A with the next communication to Applicants, to confirm that these documents have been considered by Examiner and made of record in this Application.

Certification Under 37 CFR §1.97(b):


This statement is being filed with the Application. Accordingly, Applicants do not believe that any fee is due.

The above-referenced information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR §§1.104(a) and 1.106(b) concerning the USPTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

If Examiner has any questions or wishes to discuss this Application, Examiner is kindly encouraged to telephone undersigned Counsel.

Respectfully submitted,

Dated: September 25, 2003


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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Unassigned
				Filing Date	09/25/2003 (herewith)
				First Named Inventor	Ji Ung LEE et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	125695-1
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2 (if known)			
	1	US-6,339,281	01/15/2002	Lee et al.	
	2	US-2001/0007783	07/12/2001	Lee et al.	
	3	Zhang et al. Article	11/05/2001	Zhang et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To 6
		Country Code 3 - Number 4 - Kind Code 5 (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.